



UNITED NATIONS  
Office for Outer Space Affairs



UN-SPIDER/ZFL Virtual Expert Meeting for Southern Africa  
"Space-based Solutions for Disaster Risk Management and Emergency"

UN-SPIDER Recommended Practices for Drought  
Monitoring and Flood Mapping

Brazil Regional Support Office  
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# Agenda

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- Drought indices
- Recommended Practice: Drought monitoring using the Standard Vegetation Index (SVI)
- Case study:
  - Multitemporal analysis of drought in Mozambique
- Introduction to the use of radar imaging for flood mapping
- Recommended practice on radar-based flood mapping
- Case study:
  - Floods on March 2019 in the Beira region of Mozambique

Drought



# Drought

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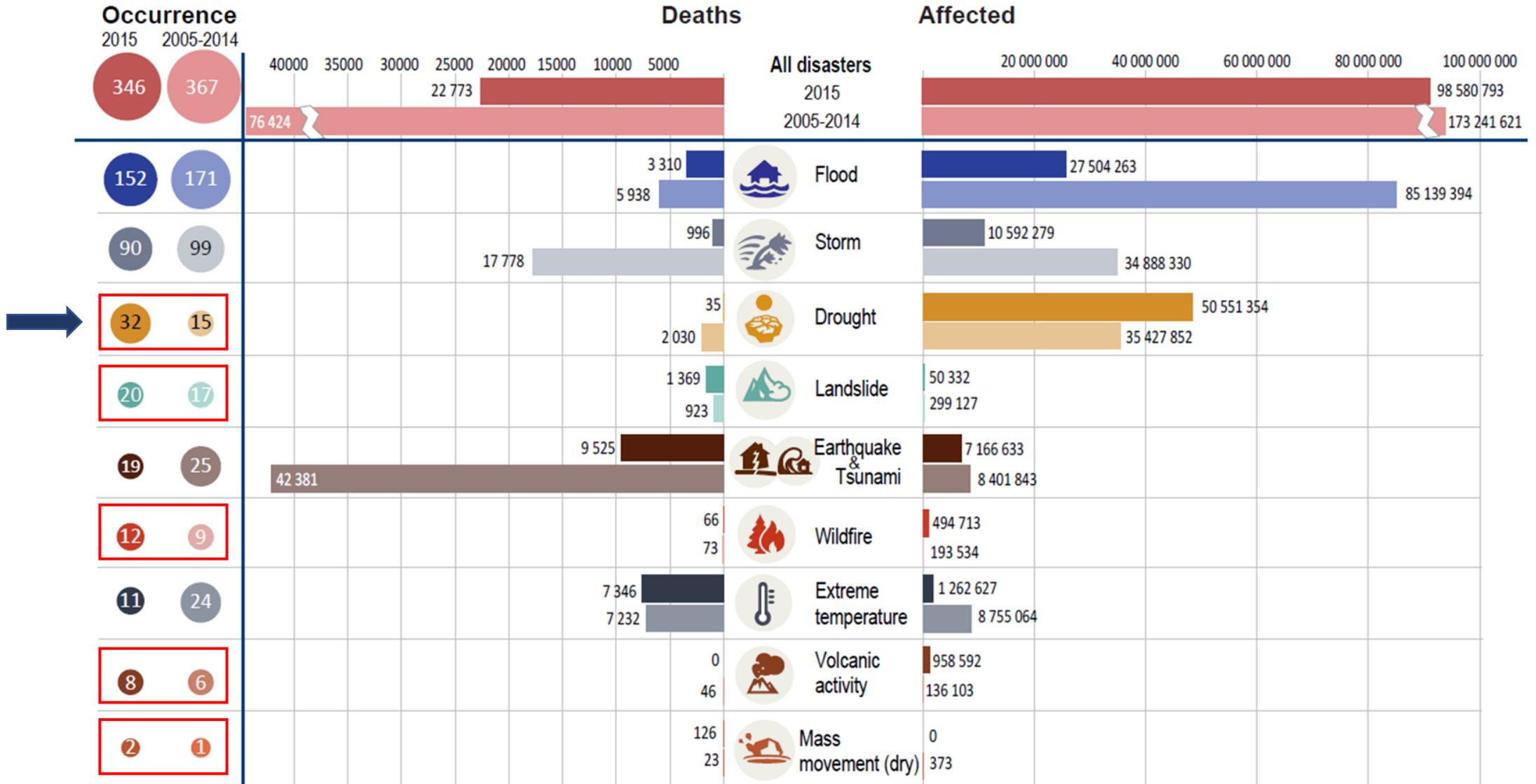
- Drought is the most complex but least understood of all natural disasters, affecting more people than other disaster.
  - Southern Africa suffered probably the worst drought in several decades and perhaps a century. According to the International Federation of Red Cross and Red Crescent (IFRC), at least 11 million people facing food shortages. Grain production was down 30% across the region.
  - In 2000, the Western U.S. entered the beginning of what scientists call a megadrought — the second worst in 1,200 years — triggered by a combination of a natural dry cycle and human-caused climate change.

# Drought

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- Drought differs from other natural disasters in three aspects:
  - duration and evolution (onset and end of drought – difficult to determine)
  - drought impacts spread over a larger geographical area
  - absence of a precise and universally accepted definition

# Human impact by disaster types (2015 versus average 2005-2014)



# Drought Indices

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- Since the beginning of the 20th century, drought indices have been developed using as input data precipitation, temperature, soil moisture and water availability.
- Indices are used to provide quantitative assessment of the severity, location, timing and duration of drought events.
- Limitation: obtaining data that represented the entire area covered by the phenomenon, whether due to the difficulty of obtaining a dense mesh of information or the extension of the areas affected by the drought.

# Drought Indices

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Percent of Normal Precipitation - PNP  
Deciles - D  
Normalized Precipitation Index - NPI  
Supply-Demand Drought Index - SDDI  
National Rainfall Index - RI  
Palmer Drought Severity Index - PDSI

Meteorological drought

Crop Moisture Index - CMI  
Crop-Specific Drought Index - CEDI  
Surface Water Supply Index - SWSI  
Dependable Rains - DR  
Dry Conditions and Excessive Moisture Index - DM

Agricultural drought

Palmer Hydrological Drought Index - PHDI  
Surface Moisture Drought Index - SMDI  
Reclamation Drought Index - RDI  
Keetch-Byram Drought Index - KBDI

Hydrological drought

Standardized Precipitation Index - SPI

Socioeconomic drought

## Use of Remote Sensing for Drought

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- Drought is hardly directly detected in an Earth observation satellite image; it is usually identified through indexes or products.
- Changes in vegetation cover detected by remote sensing in the spatial and temporal domain have been used as indicators of drought.
- Using orbital Earth observation sensors, it is possible to develop and adapt techniques to identify, monitor and quantify drought, and thus better understand characteristics such as severity, event onset and spatial extent.

# Drought Indices

A comparative study of NOAA–AVHRR derived drought indices using change vector analysis.

Bayarjargal et al., 2006.

Remote Sensing of Environment, 105 (2006)

p. 9 – 22.

The NOAA–AVHRR images-derived and meteorological-measured drought-indices

| Drought indices   | Formula*  | Source and reference  |
|---|---|---|
| (1) Normalized Difference Vegetation Index (NDVI)             | $NDVI_{ijk} = \frac{(NIR_{ijk} - R_{ijk})}{(NIR_{ijk} + R_{ijk})}$  | Ji & Peters, 2003;<br>Tucker, 1979; Tucker & Choudhury, 1987                        |
| (2) Anomaly of Normalized Difference Vegetation Index (NDVIA) | $NDVIA_{ijk} = \overline{NDVI}_{ij} - NDVI_{ijk}$   | Anyamba et al., 2001  |
| (3) Standardized Vegetation Index (SVI)                       | $SVI_{ijk} = \frac{(NDVI_{ijk} - \overline{NDVI}_{ij})}{\sigma_{NDVI_{ij}}}$  | Liu & Negron-Juarez, 2001;<br>Peters et al., 2002                                   |
| (4) Vegetation Condition Index (VCI)                          | $VCI_{ijk} = \frac{(NDVI_{ijk} - NDVI_{i,min})}{(NDVI_{i,max} - NDVI_{i,min})}$   | Kogan, 1990, 1995, 1997, 2000   |
| (5) Temperature Condition Index (TCI)                         | $TCI_{ijk} = \frac{(BT_{i,max} - BT_{ijk})}{(BT_{i,max} - BT_{i,min})}$   | Kogan, 1995, 1997, 2000   |
| (6) Vegetation Health Index (VH)                              | $VH_{ijk} = 0.5 * VCI_{ijk} + 0.5 * TCI_{ijk}$  | Kogan, 1997, 2000, Kogan et al., 2004   |
| (7) Ratio between LST and NDVI (LST/NDVI)                     | $LST_{ijk} / NDVI_{ijk}$  | Karnieli & Dall'Olmo, 2003;<br>Lambin & Ehrlich, 1996;<br>McVicar & Bierwirth, 2001 |
| (8) Drought Severity Index (DSI)                              | $DSI_{ijk} = \Delta LST_{ijk} - \Delta NDVI_{ijk};$<br>$\Delta LST_{ijk} = (\overline{LST}_{ij} - LST_{ijk}) / \sigma_{LST_{ij}}$<br>$\Delta NDVI_{ijk} = (\overline{NDVI}_{ij} - NDVI_{ijk}) / \sigma_{NDVI_{ij}}$ | Bayarjargal et al., 2000  |
| (9) Palmer Drought Severity Index (PDSI)                      | $PDSI_{ijk} = PDSI_{ik} \{ j-1 + \left[ \frac{Z_{ijk}}{3} + 0.103 * PDSI_{ij-1k} \right] \}$  | Dai et al., 2004; Palmer, 1965;<br>National Drought Mitigation Center, 2003         |

\* $NIR_{ijk}$  and  $R_{ijk}$  — reflectance values at the near-infrared (channel 2) and red (channel 1) wavelengths of NOAA–AVHRR, respectively, for pixel  $i$  during month  $j$  for year  $k$ . Note that  $j$  can be also referred to 8-day (e.g. MODIS data), 10-day (e.g. PAL AVHRR), 14-day (1 km AVHRR), 16-day (1 km MODIS), depending on the time intervals of data sets.

$NDVI_{ijk}$  — monthly NDVI for pixel  $i$  in month  $j$  for year  $k$ .

$\overline{NDVI}_{ij}$  — multiyear average NDVI for pixel  $i$  in month  $j$ .

$\sigma_{NDVI_{ij}}$  — standard deviation of NDVI for pixel  $i$  in month  $j$ .

$NDVI_{i,min}$  and  $NDVI_{i,max}$  — multiyear minimum and maximum NDVI, respectively, for pixel  $i$ .

$BT_{ijk}$  — brightness temperature at channel 4 for pixel  $i$  in month  $j$  for year  $k$ .

$BT_{i,min}$  and  $BT_{i,max}$  — multiyear minimum and maximum brightness temperature, respectively, for pixel  $i$ .

$LST_{ijk}$  — land surface temperature for pixel  $i$  in month  $j$  for year  $k$ .

$\overline{LST}_{ij}$  — multiyear average LST for pixel  $i$  in month  $j$ .

$\sigma_{LST_{ij}}$  — standard deviation of LST for pixel  $i$  in month  $j$ .

$PDSI_{ijk}$  and  $PDSI_{ij-1k}$  — monthly PDSI for pixel  $i$  for year  $k$  in a current month  $j$  and previous month  $j-1$ .

$Z_{ijk}$  — monthly moisture status for pixel  $i$  in month  $j$  for year  $k$ .

# UN-SPIDER Recommended Practice for Drought Monitoring



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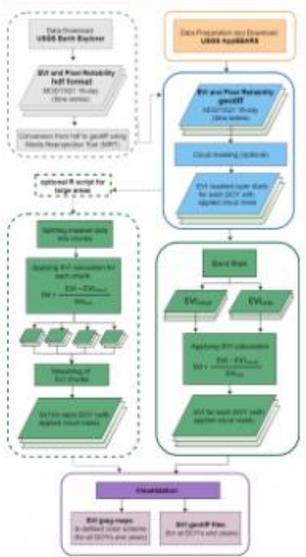
# KNOWLEDGE PORTAL

Space-based information for Disaster Management and Emergency Response

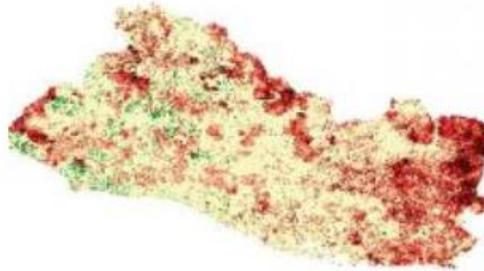
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### Flowchart



## Recommended Practice: Drought monitoring using the Standard Vegetation Index (SVI)



Drought monitoring is an important component in drought early warning systems. This practice shows how to monitor the impacts of meteorological drought on natural vegetation using MODIS optical [satellite](#) imagery. The practice has been developed in the context of the SEWS-D project. It is similar to the practice developed by the Iranian Space Agency but it proposes the use of a different index (SVI instead of VCI). The practice was developed by the Universidad

Federal de Santa Maria (UFSM) in Brasil. (The above image shows the standard vegetation index based on EVI for El Salvador on 28 July 2014.)

Recommended by:



### Related Practices

[Recommended Practice: Drought monitoring using the Vegetation Condition Index \(VCI\)](#)

# UN-SPIDER Recommended Practice for Drought Monitoring

## Step by Step: Drought monitoring using the Standard Vegetation Index (SVI)

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[In Detail](#)

### Data Access:

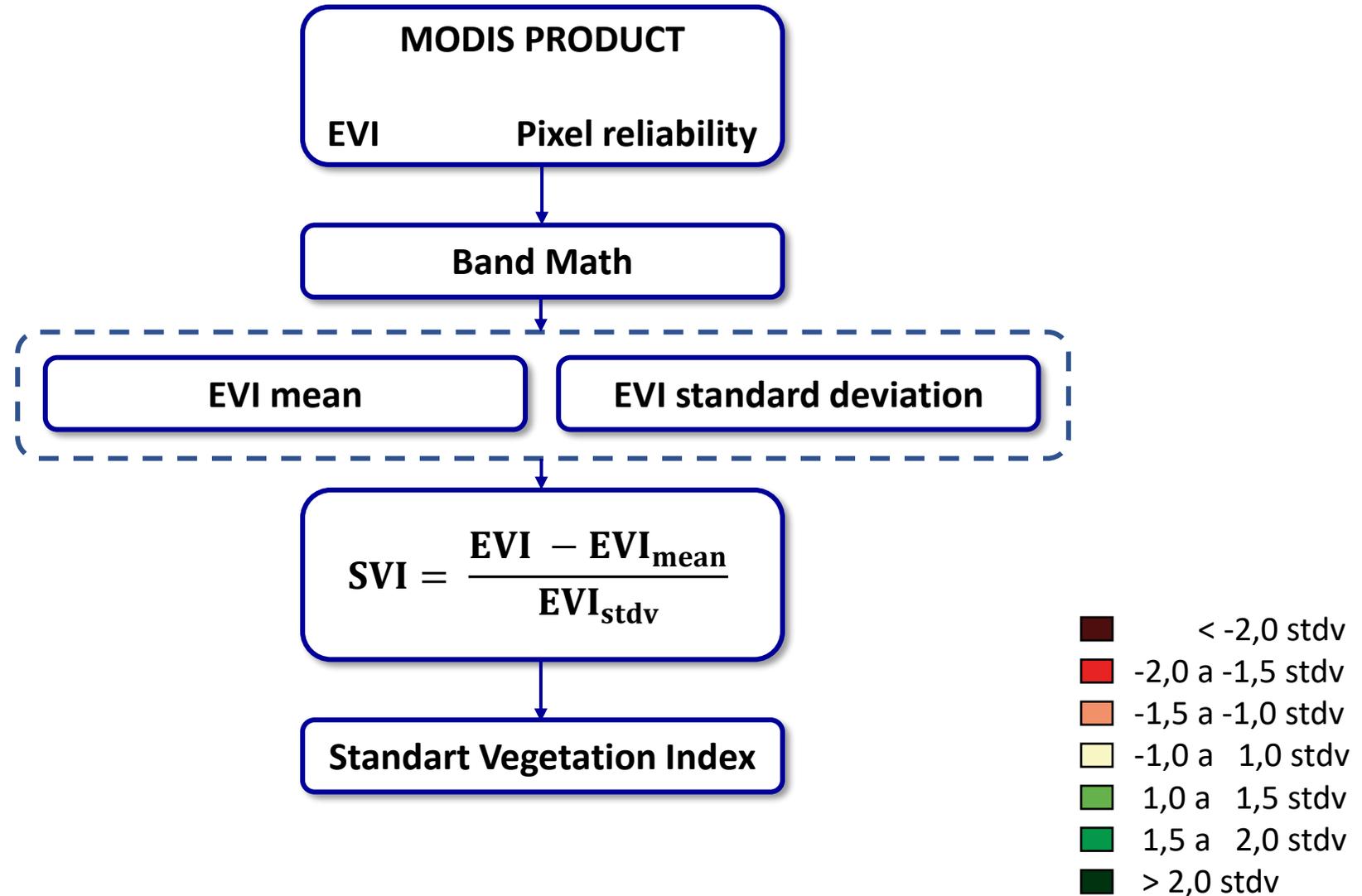
The step-by-step procedure for drought monitoring using the Standard Vegetation Index (SVI) is available to follow using R-Studio and Python. These two methods were developed to give the user flexibility to choose which of the open source tools is more practical and convenient.

Please click on the icons below to see the corresponding step-by-step procedure.



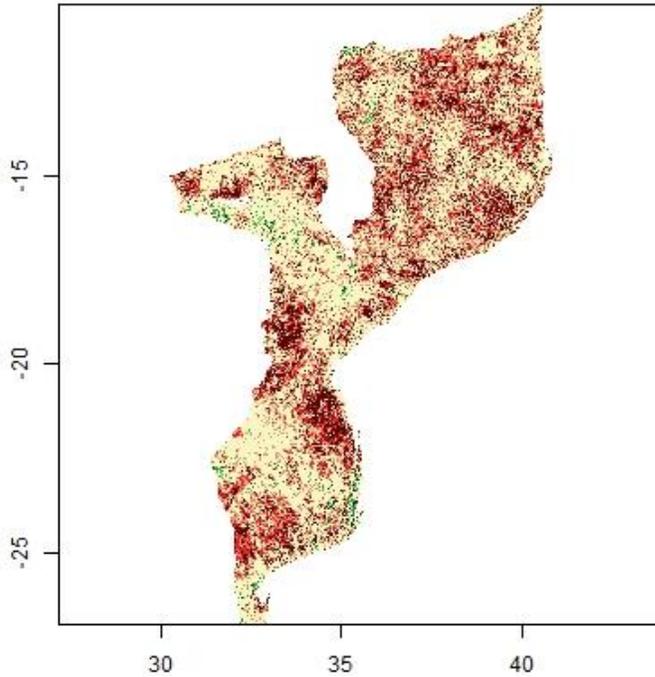
Google Earth Engine

# UN-SPIDER Recommended Practice for Drought Monitoring

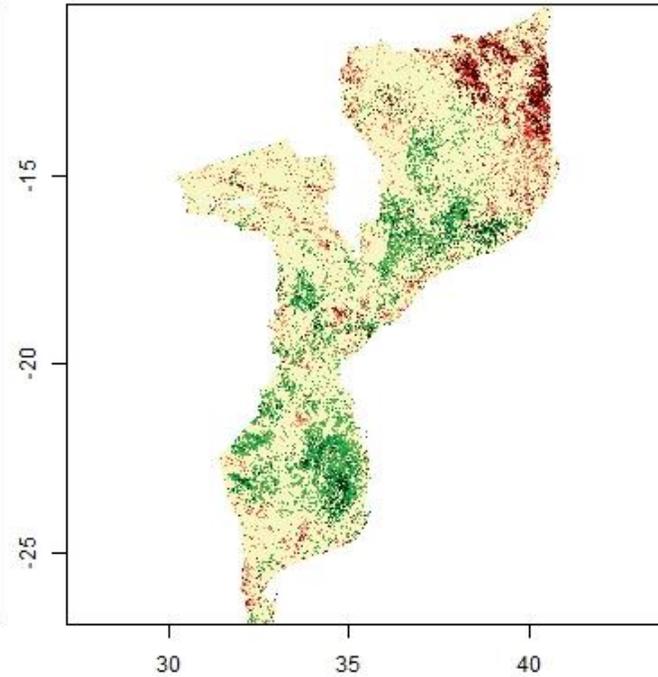


# Multitemporal analysis of drought in Mozambique

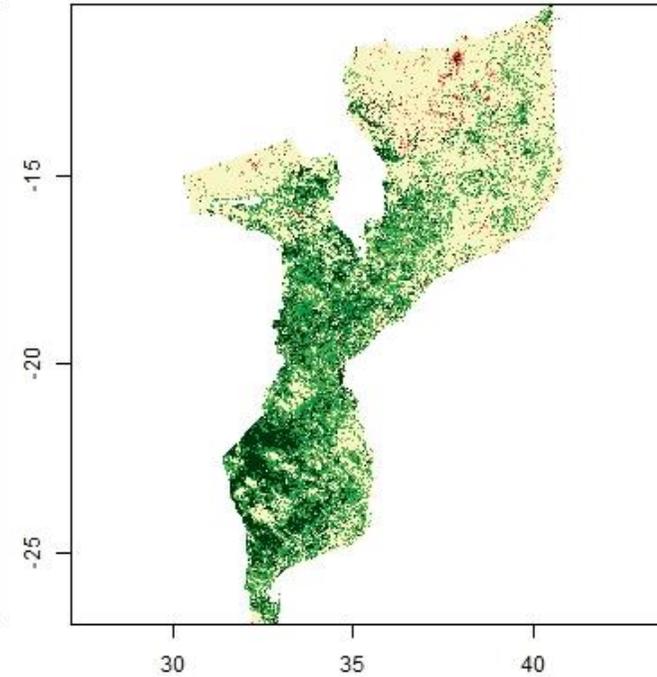
SVI (EVI) sample 129 2008



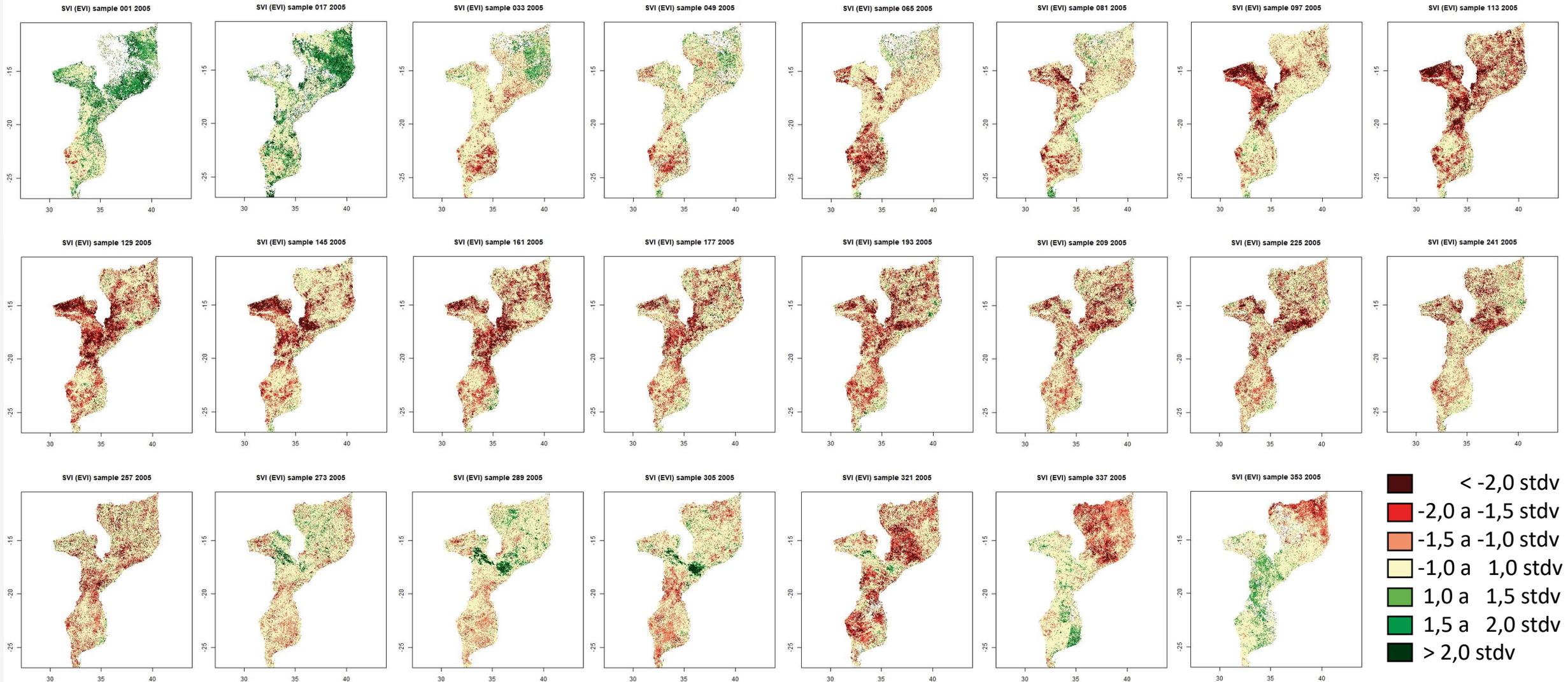
SVI (EVI) sample 129 2009



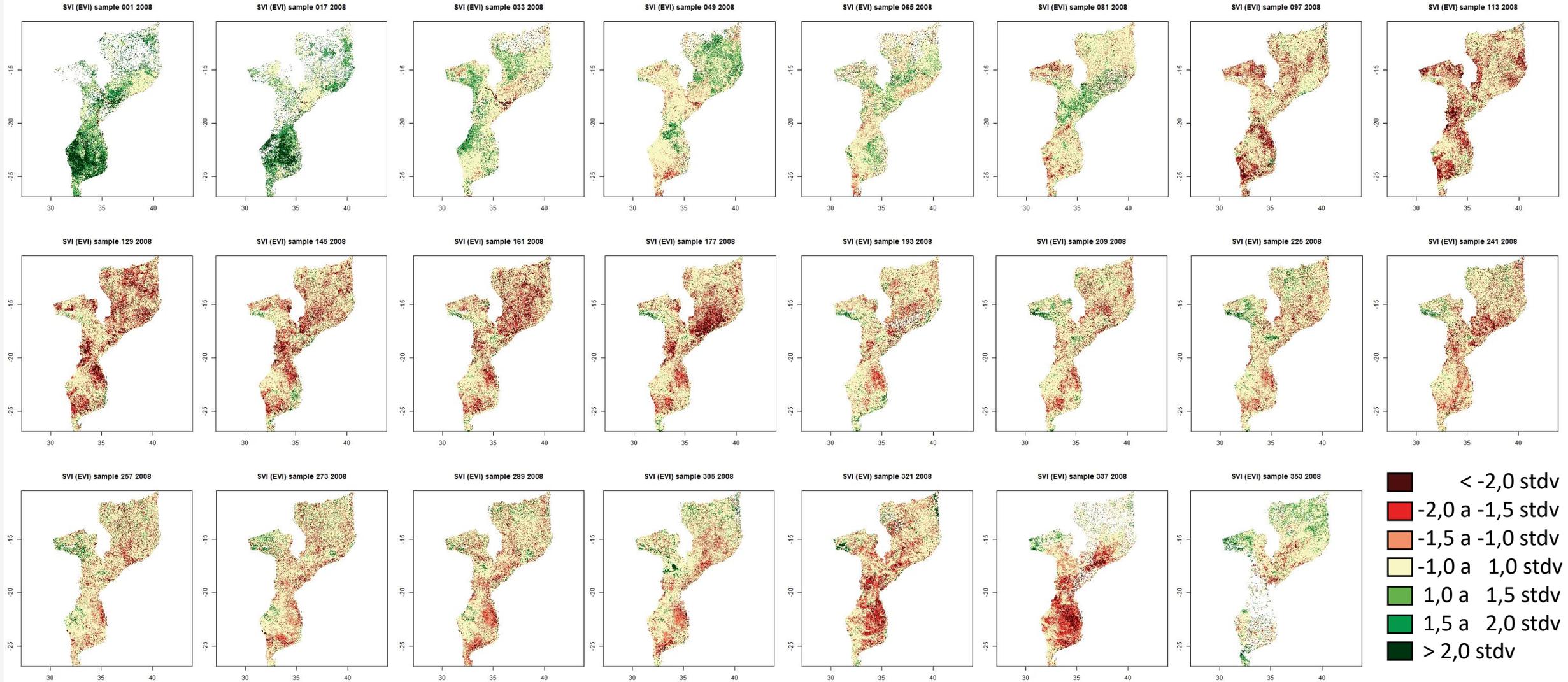
SVI (EVI) sample 129 2010



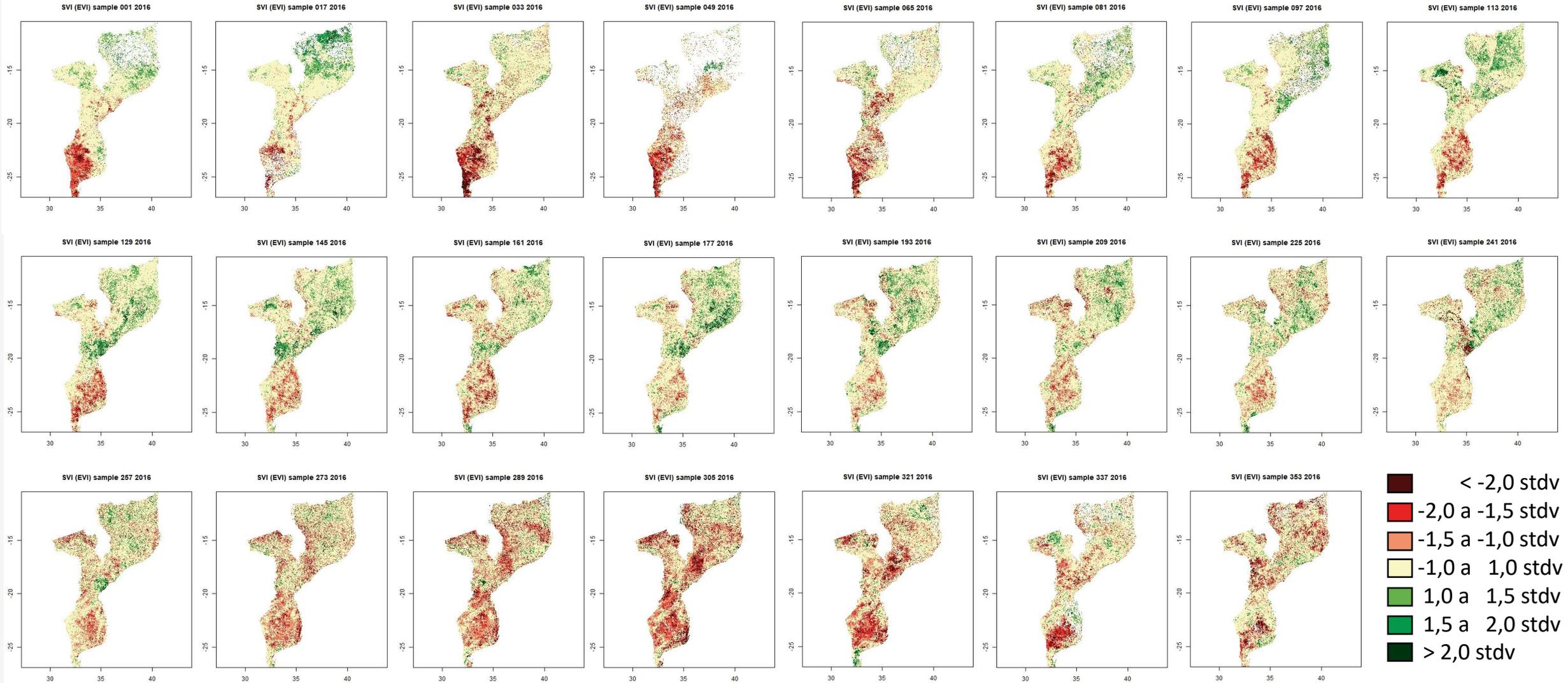
# Multitemporal Analysis of Drought in Mozambique - 2005



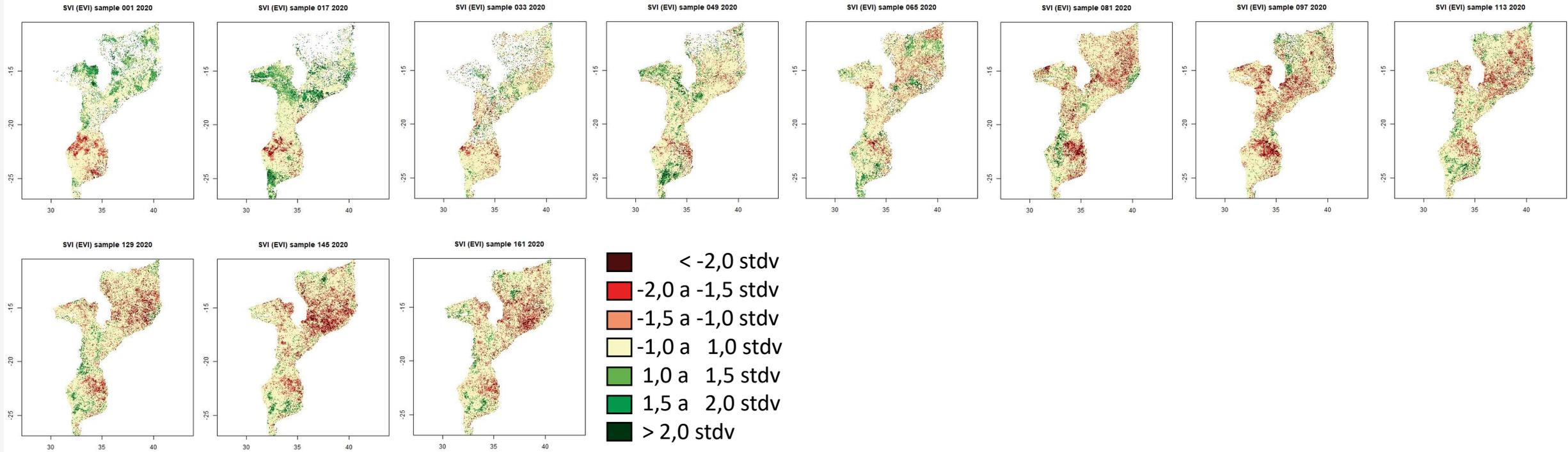
# Multitemporal Analysis of Drought in Mozambique - 2008



# Multitemporal Analysis of Drought in Mozambique - 2016



# Multitemporal analysis of drought in Mozambique - 2020



## Recommendations

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- Validate the practice for each country or region.
- Build a drought databank.
- Relate the information to land use and cover maps and soil types.
- Integrate emergency and disaster information with drought maps.

Flood

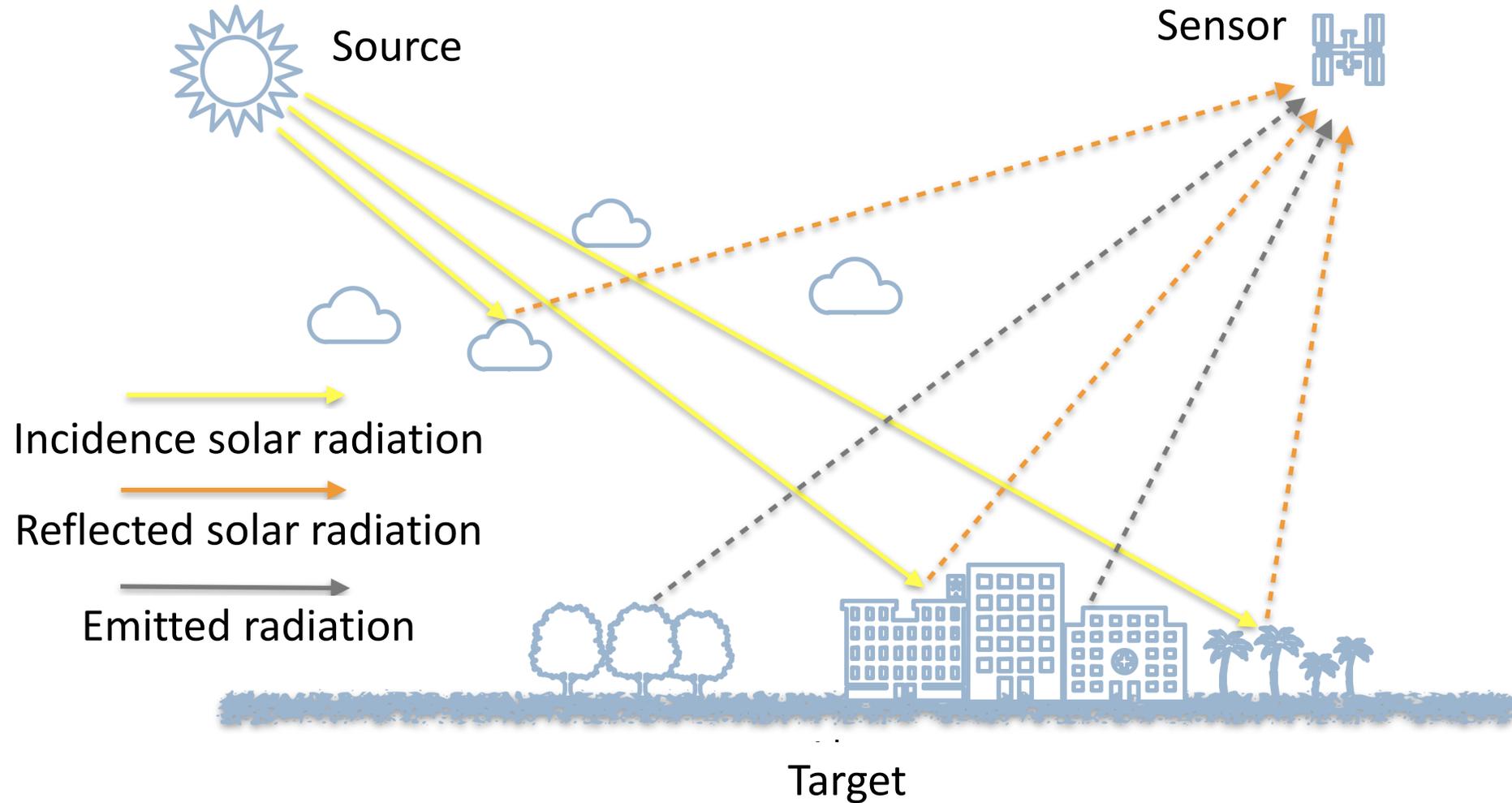


# Using Radar Images for Flood Mapping

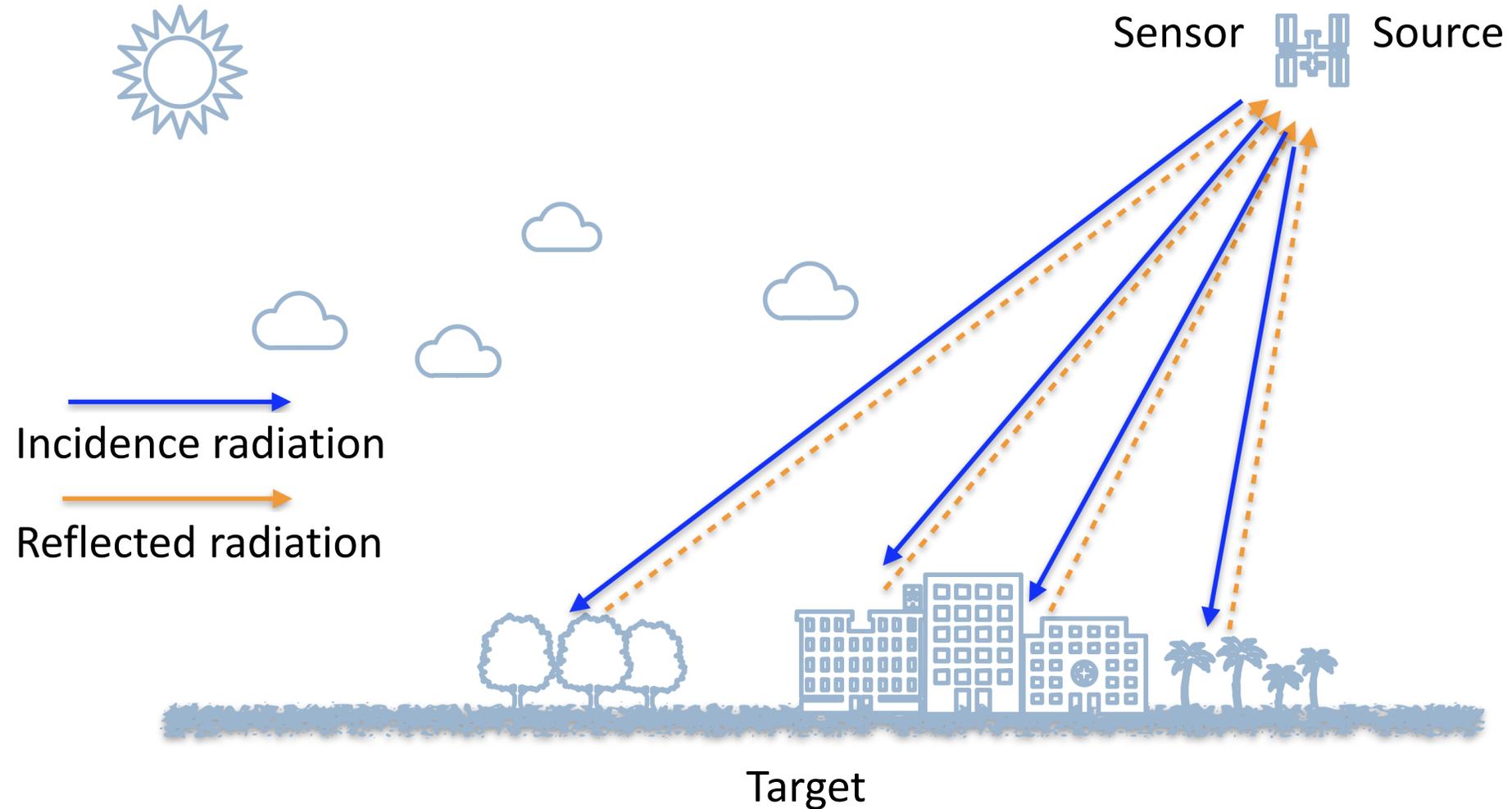
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- RADAR principles
  - SAR
  - Resolution and angle of incidence
  - Frequency band and polarization (HH, HV, VV, VH)
- Image characteristics
  - Shadow
  - Foreshortening and layover distortion
  - Speckle
- Backscattering

# Using Radar Images for Flood Mapping



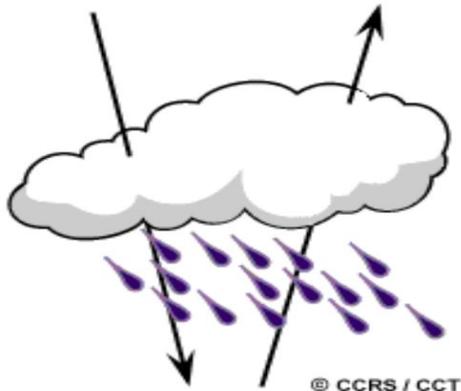
# Using Radar Images for Flood Mapping



# Using Radar Images for Flood Mapping

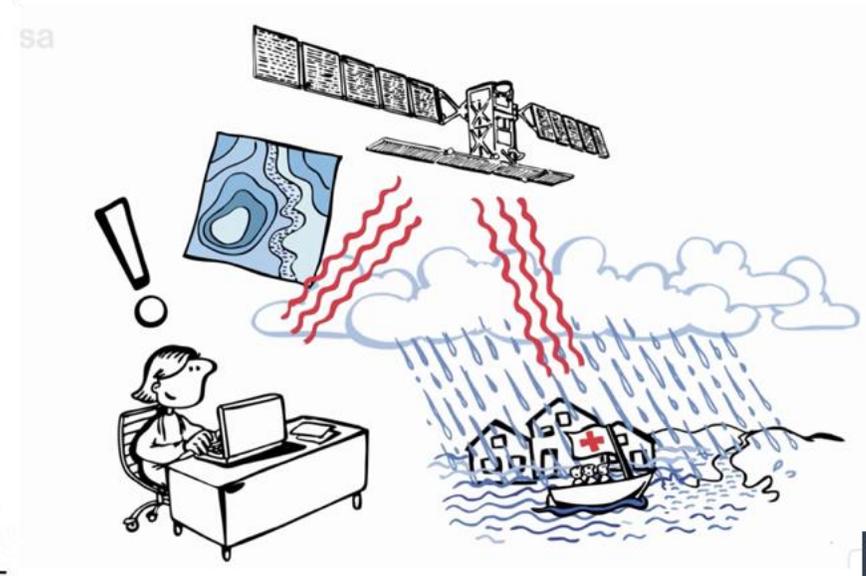
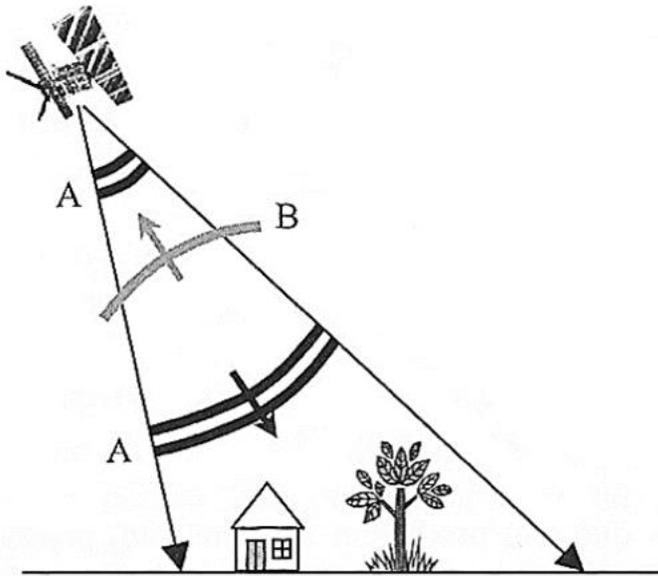
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- Radar images
- Different wavelength characteristics comparing to optical images
  - SAR (1cm – 1m) - longest wavelengths when comparing to optical images: visible ( $0,4\mu\text{m} - 0,7\mu\text{m}$ ), near-infrared ( $0,7\mu\text{m} - 1,3\mu\text{m}$ ), mid-wave infrared ( $1,3\mu\text{m} - 8\mu\text{m}$ ), far-infrared or thermal-infrared ( $8\mu\text{m} - 14\mu\text{m}$ ).



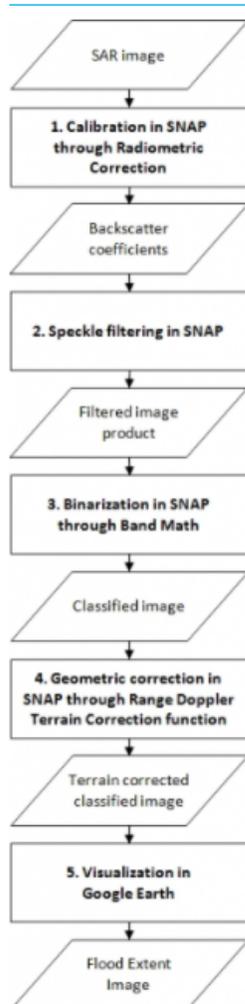
# Using Radar Images for Flood Mapping

- Radar remote sensing
- Active sensors have its own source of light or illumination. In particular, it actively sends a pulse and measures the backscatter reflected to the sensor. Therefore, images can be acquired day and night, completely independent of solar illumination.

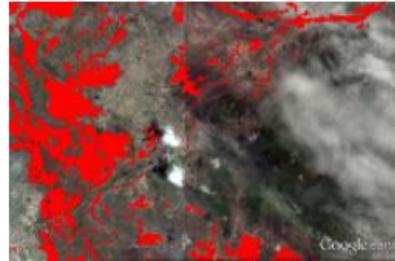




## Flowchart



## Recommended Practice: Radar-based Flood Mapping



The UN-SPIDER Recommended Practice on radar-based flood mapping explains the use of Synthetic Aperture Radar (SAR) satellite imagery for flood mapping. The practice shows the use of the European Space Agency's (ESA) SNAP software for pre-processing and processing of SAR imagery using a threshold method for deriving the flood extent. Google Earth is used to visualize the results of the image processing. This practice was developed by the

Space Research Institute NASU-SSAU, Ukraine, a UN-SPIDER Regional Support Office. This practice can be applied globally and has been used successfully for floods in Australia, Africa and Asia.

[Step by Step](#)

[In Detail](#)

### Objective:

The objective of this practice is to determine the extent of flooded areas. The use of SAR satellite imagery for flood extent mapping constitutes a viable solution to process images quickly, providing near real-time flooding information to relief agencies. Moreover, flood extent information can be used for damage assessment and risk management creating scenarios showing potential population, economic activities and the environment at potential risk from flooding,

### Disaster type:

Flood

### Disaster Cycle Phase:

Recovery & Reconstruction Relief & Response

## Recommended by:



## Related Practices

[Recommended Practice: Flood Hazard Mapping](#)

## Related data

[Sentinel 1 - SAR Dataset \(ESA\)](#)

[view all](#)

## Related Software

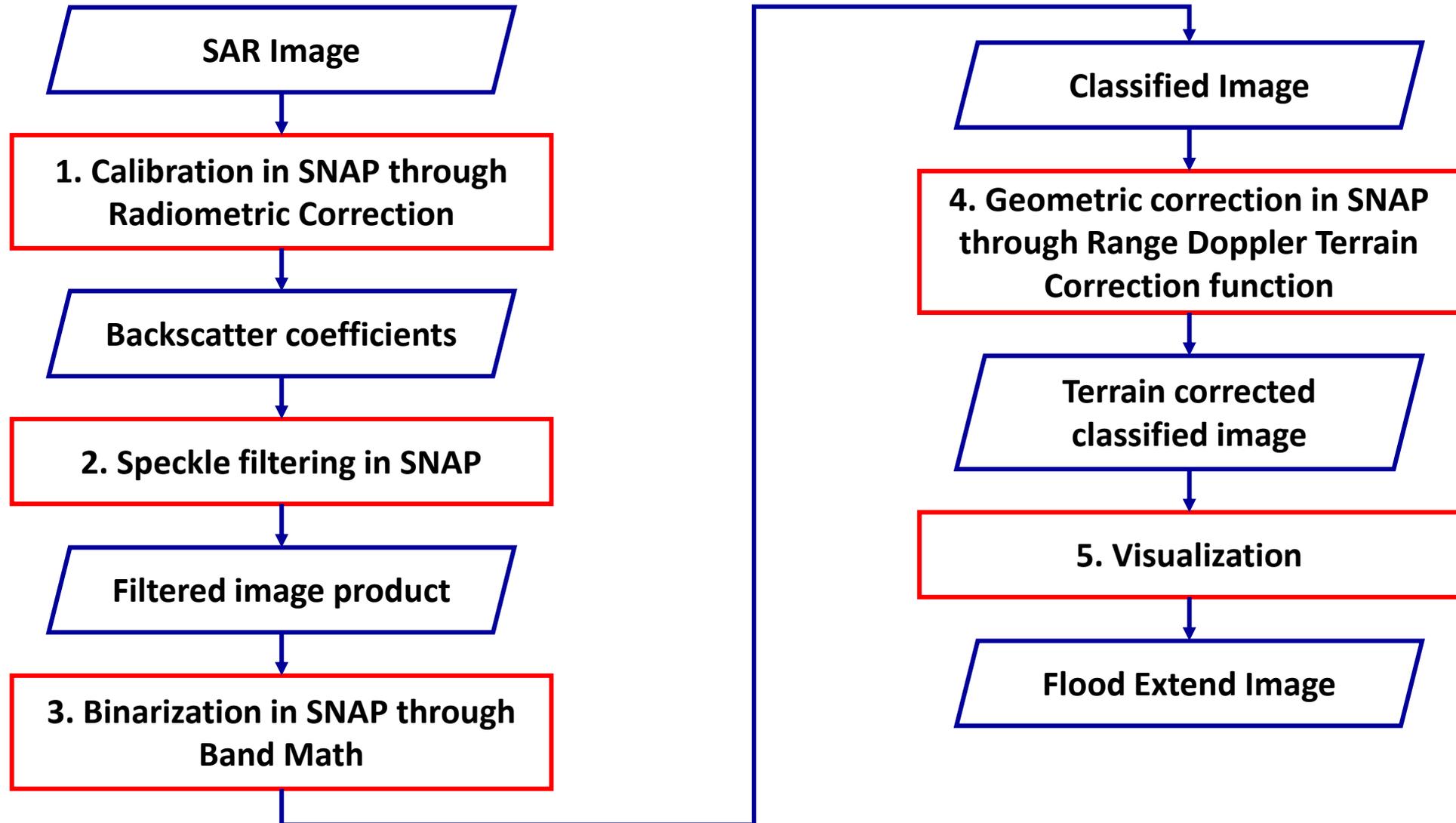
[Google Earth Pro](#)  
[Sentinel Application Platform \(SNAP\)](#)

[Python](#)

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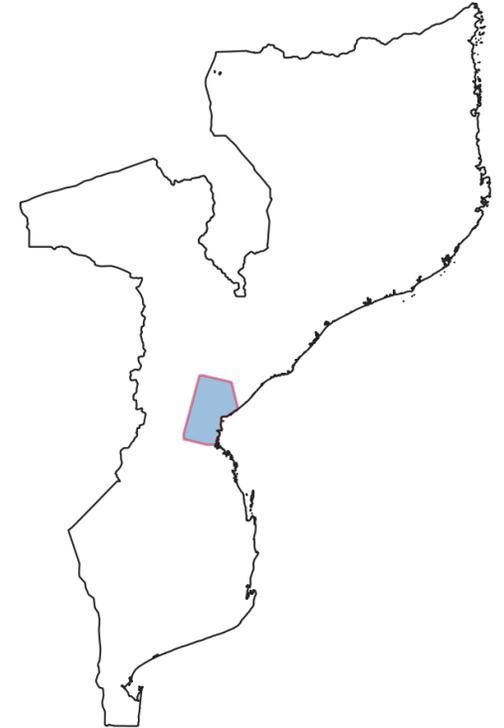
# Recommended Practice: Radar-based Flood Mapping



## Case Study

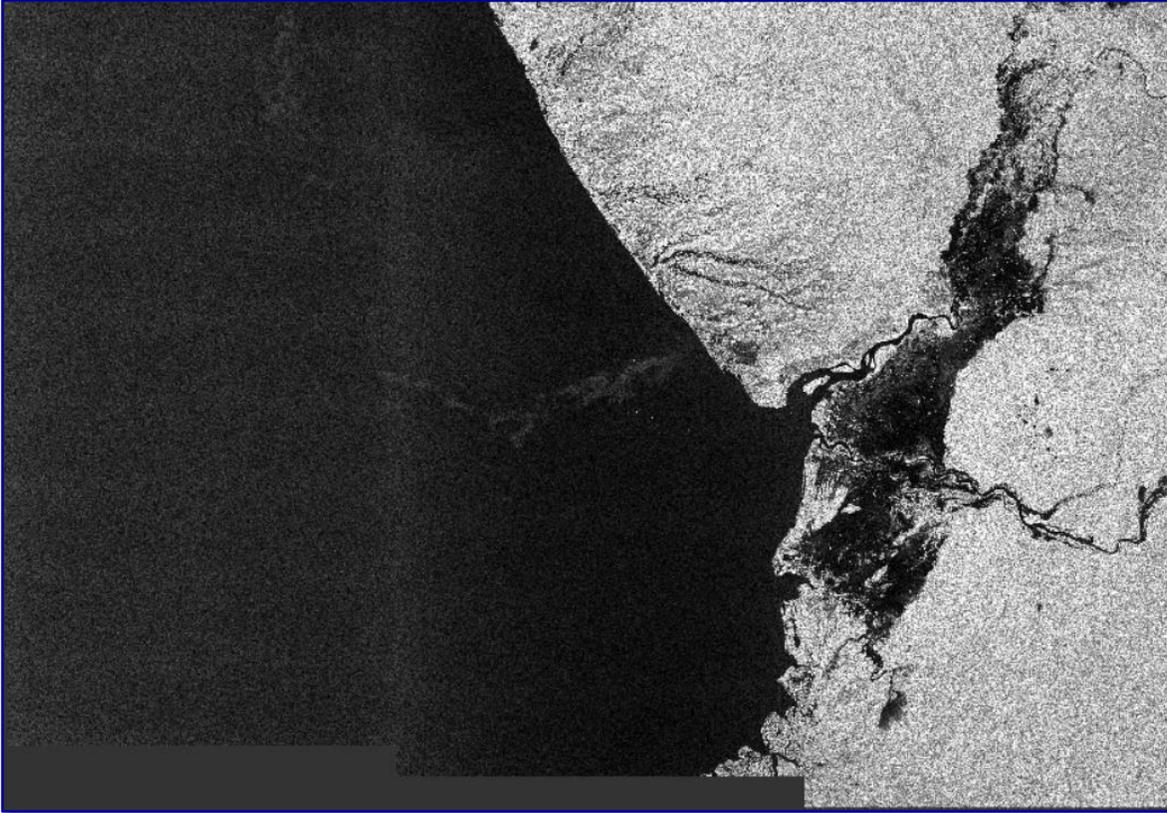
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- Tropical Cyclone Idai brought torrential rain and flash flooding to parts of Mozambique.
- Over 100 people were killed and 500,000 were affected.
- The flooding damaged power supplies and communications, and also affected water supplies.
- ~ 5000 properties were submerged and large cropland destroyed.
- The city of Beira were particularly affected by the storm.
- Reports indicate that the flood waters in Mozambique reach 6 metres in some areas.

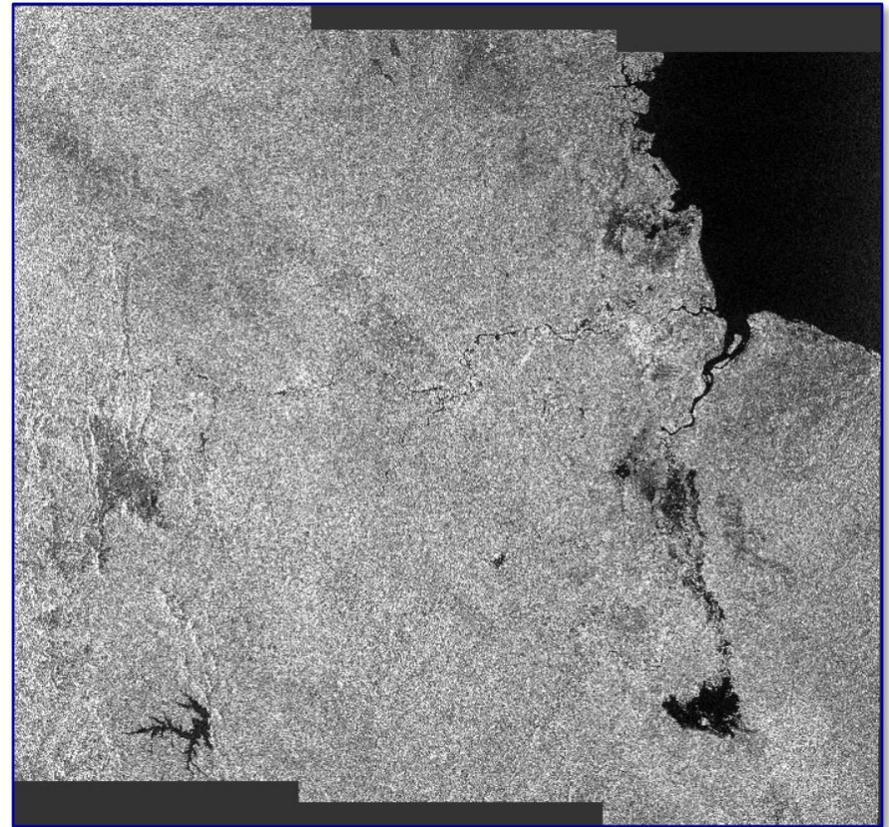


# Case Study

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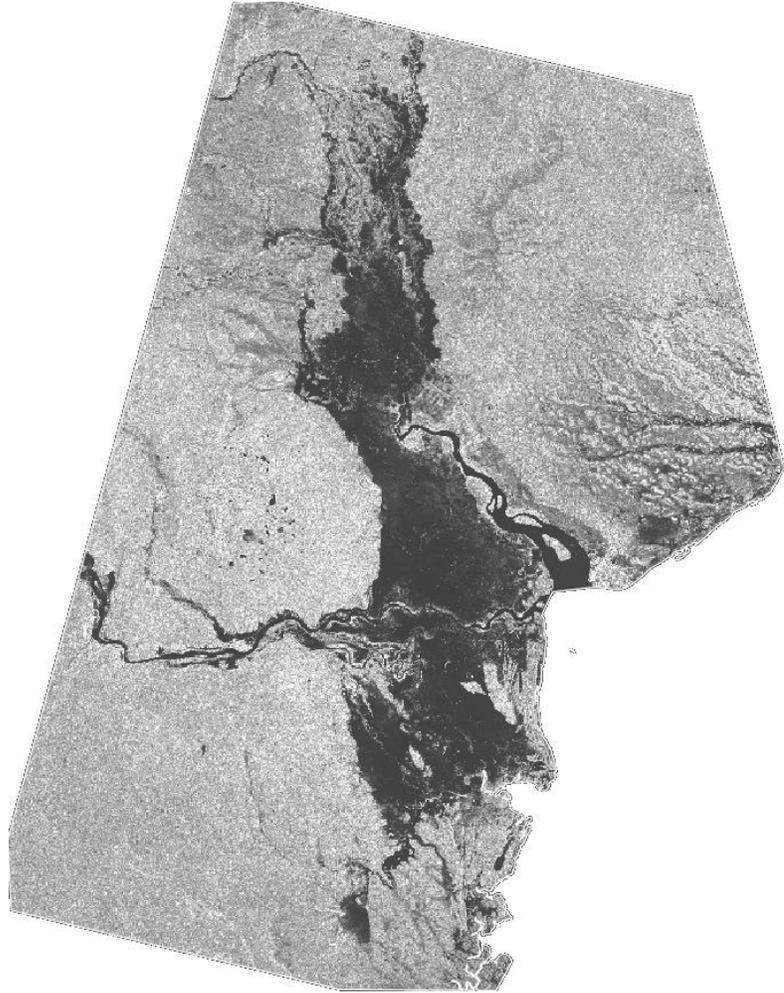
Sentinel-1 image 20 March 2019



Sentinel-1 image 31 March 2019

# Case Study

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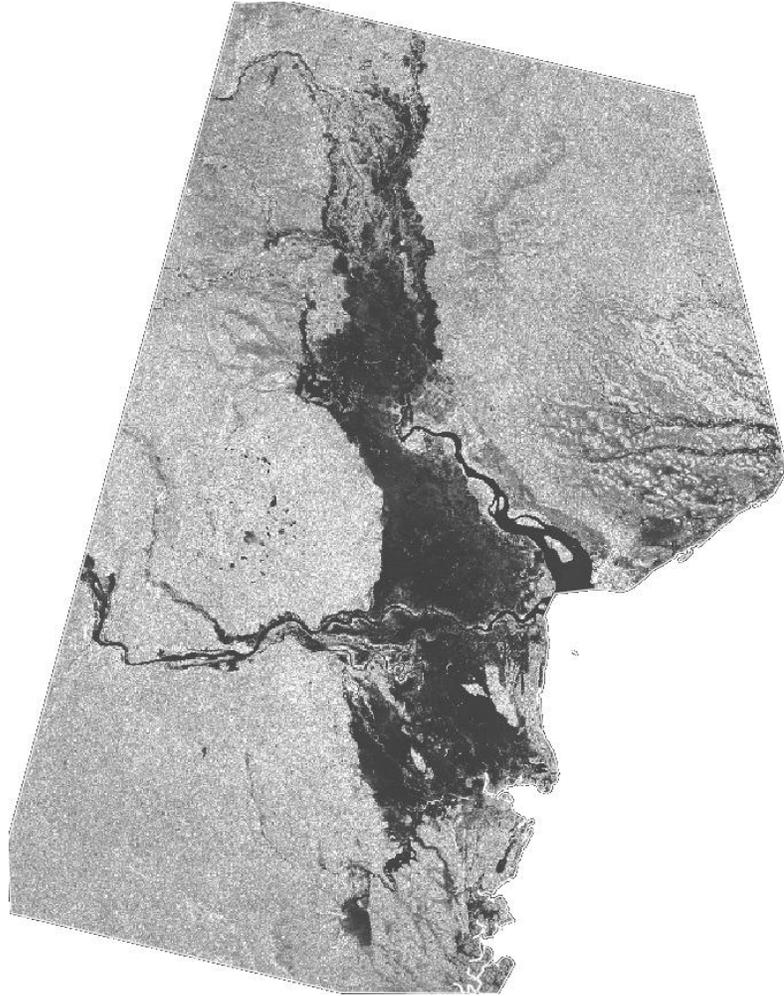
Sentinel-1 image 20 March 2019



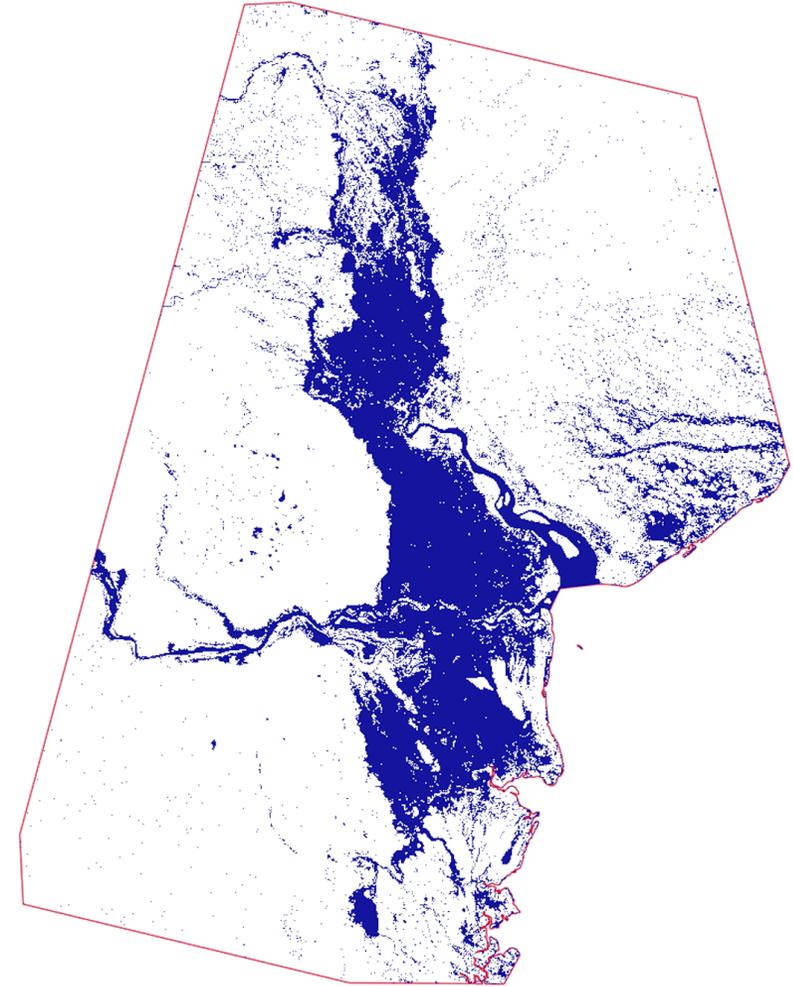
Sentinel-1 image 31 March 2019

# Case Study

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Sentinel-1 image 20 March 2019



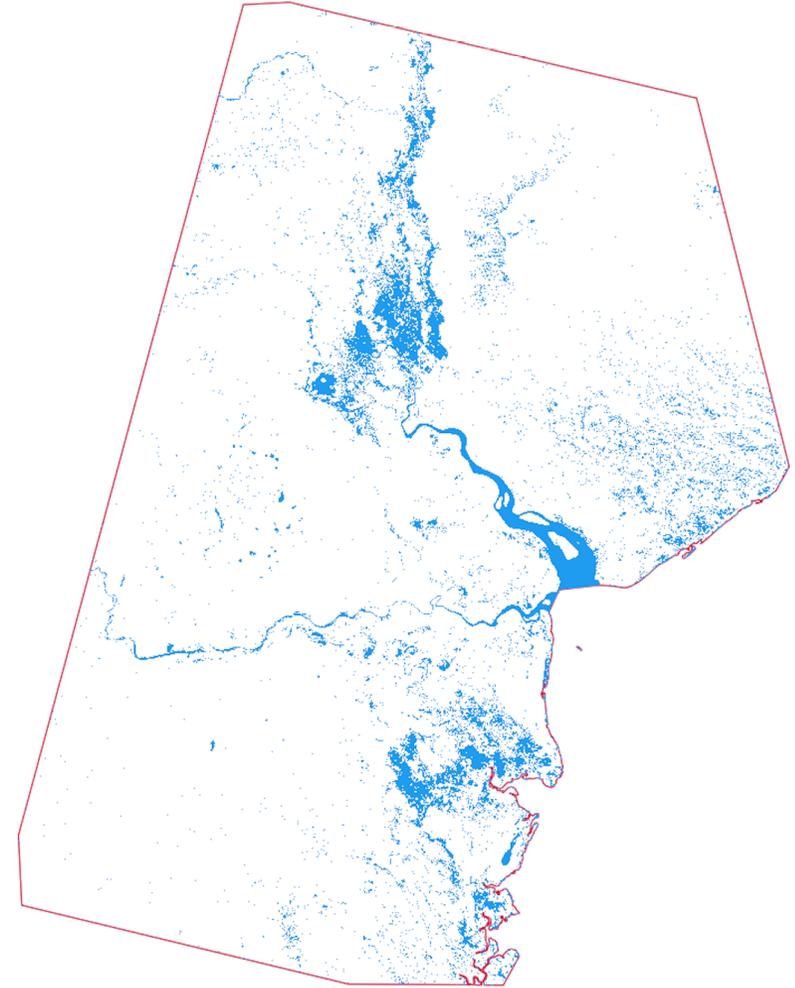
Flood mask 20 March 2019

# Case Study

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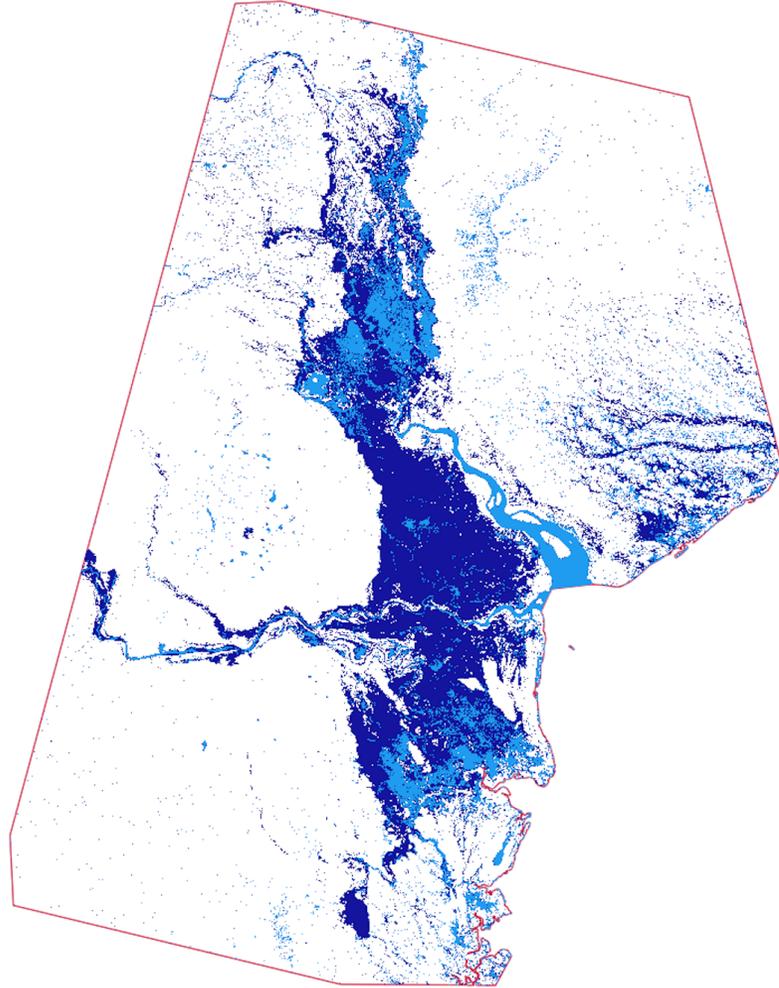
Sentinel-1 image 31 March 2019



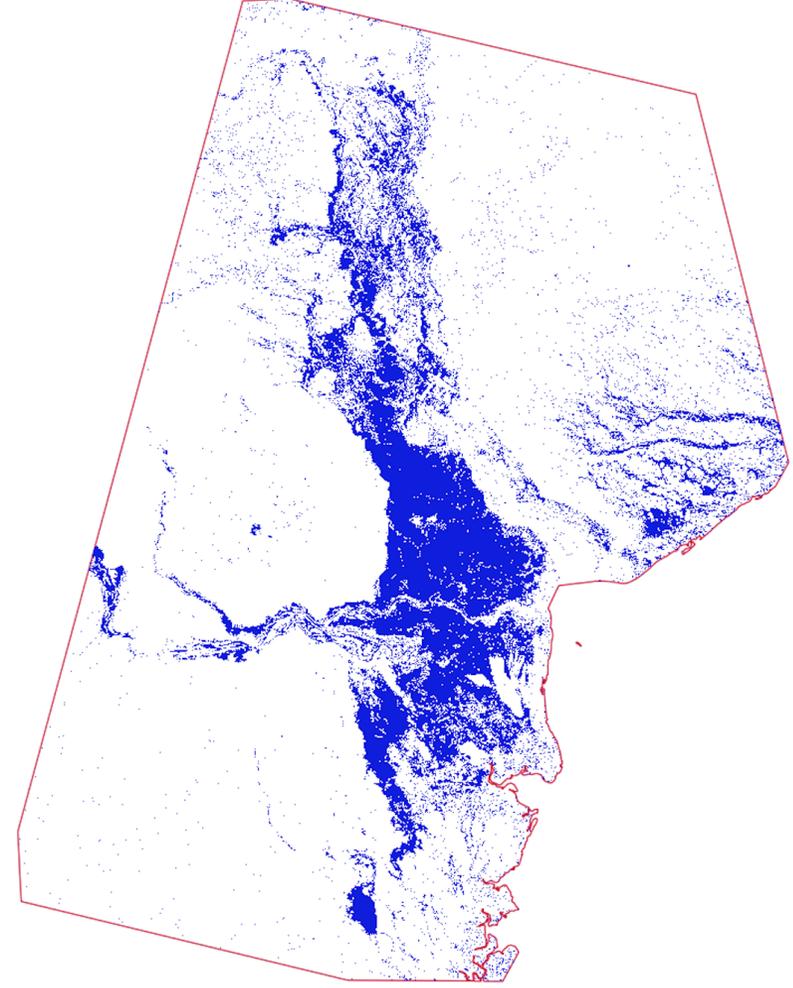
Flood mask 31 March 2019

# Case Study

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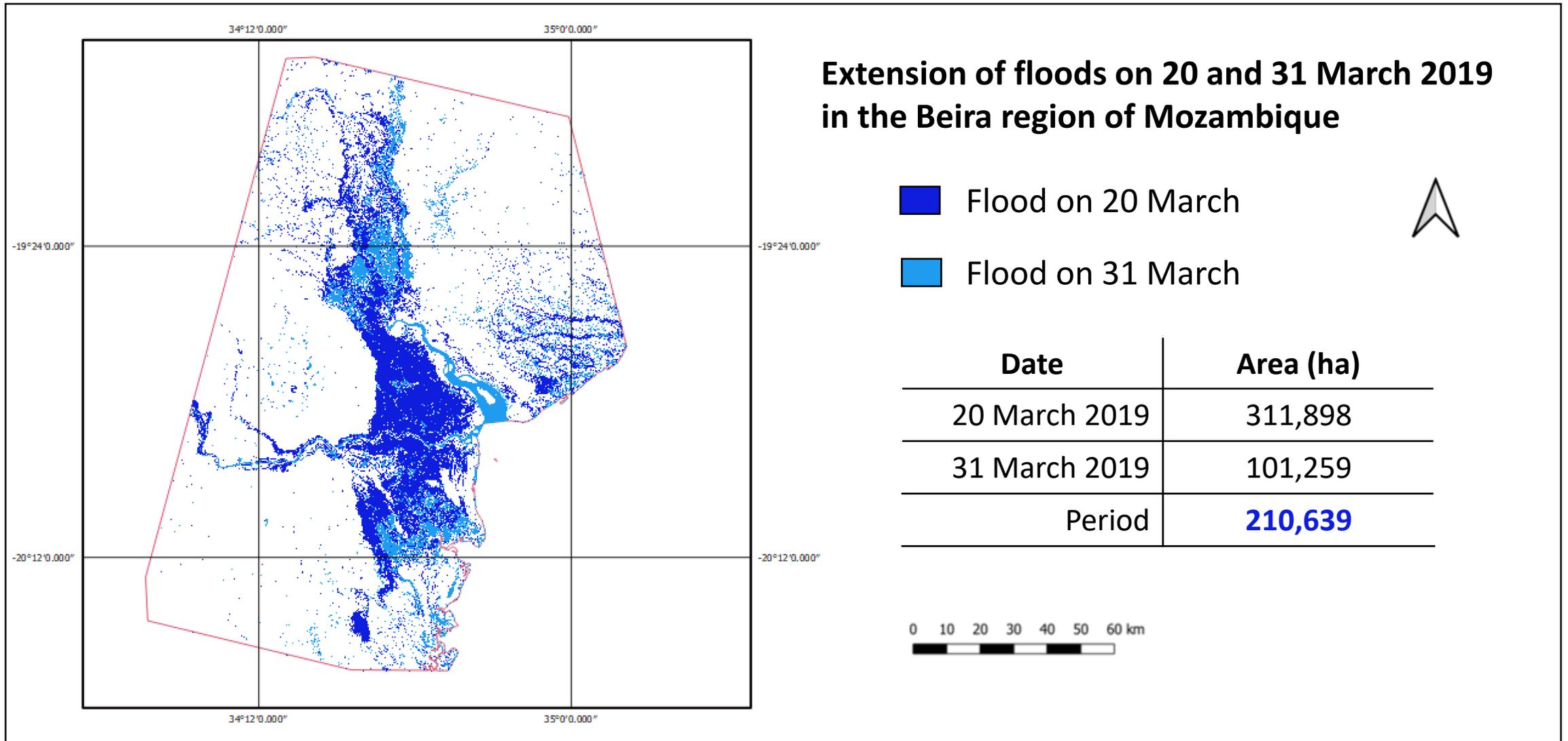


Flood mask



Flood mask 20 March 2019

# Case Study



## Extension of floods on 20 and 31 March 2019 in the Beira region of Mozambique

 Flood on 20 March

 Flood on 31 March



| Date          | Area (ha)      |
|---------------|----------------|
| 20 March 2019 | 311,898        |
| 31 March 2019 | 101,259        |
| Period        | <b>210,639</b> |



Thank you!

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